



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Joseph P. Bigus et al. : Date: July 15, 2004
Group Art Unit: 3621 : IBM Corporation
Examiner: Firmin Backer : Intellectual Property Law
Serial No.: 09/431,833 : Dept. 917, Bldg. 006-1
Filed: November 2, 1999 : 3605 Highway 52 North
Title: INTELLIGENT AGENT WITH : Rochester, MN 55901
NEGOTIATION CAPABILITY AND
METHOD OF NEGOTIATION
THEREWITH

3621
✓
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with

07/19/2004 KBETEMAI 00000059 090465 09431833

01 FC:1806 180.00 DA

Serial No. 09/431,833
Docket No. RO996-054LB

37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c) before a final action or notice of allowance. The filing fee of \$180.00 (for other than a small entity) is to be charged to our Deposit Account No. 09-0465.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 15, 2004

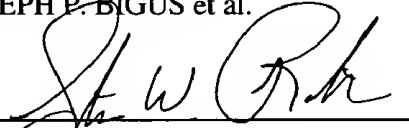
(Date of Deposit)

Debra A. Peterson

Debra A. Peterson

Respectfully submitted,

JOSEPH P. BIGUS et al.

By 

Steven W. Roth

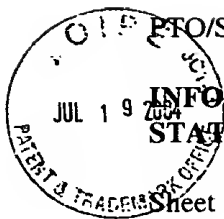
Attorney Reg. No. 34,712

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PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 2

Application Number.: 09/431,833
Filing Date: November 2, 1999
First Named Inventor: Joseph P. Bigus
Art Unit: 3621
Examiner Name: Firmin Backer
Attorney Docket Number.: RO996-054LB

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	<u>Document Number</u>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		Number - Kind Code ² (if known)			
		US - 2003/0084010 A1	05-01-2003	Bigus et al.	
		US - 5,455,890	10-03-1995	Wang	
		US - 5,790,789	08-04-1998	Suarez	
		US - 6,021,437	02-01-2000	Chen et al.	
		US - 6,049,819	04-11-2000	Buckle et al.	
		US - 6,282,701 B1	08-28-2001	Wygodny et al.	
		US -			
		US -			
		US -			
		US -			
		US -			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	<u>Foreign Patent Document</u>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶
		Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)				

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 2 of 2



Application Number.: 09/431,833
 Filing Date: November 2, 1999
 First Named Inventor: Joseph P. Bigus
 Art Unit: 3621
 Examiner Name: Firmin Backer
 Attorney Docket Number.: RO996-054LB

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T ²
		KOPELMAN, "Accelerated Engineering, The 3 Secrets to Just-In-Time Product Development (JITPD)," WESCON/94, Idea/Microelectronics, Conference Record; September 27-29, 1994; pp. 362-367.	
		STIDOLPH, "Evolutionary Design of Complex Software (EDCS) Demonstration Days 1999," ACM SIGSOFT Engineering Notes; vol. 25, no. 1; January 2000.	
		PURSSELL, "The Product Release Interface: Environment and Concerns," Fifth Electronic Manufacturing Technology Symposium International "Design-to-Manufacturing Transfer Cycle," October 10-12, 1998, pp. 51-56.	
		MANDERSON, "Satellite Systems: How to Distribute Data, Computing, Consulting, and Responsibility All at the Same Time," Proceedings of the 17th Annual ACM SIGUCCS Conference on User Services; October 1989.	

Examiner Signature		Date Considered	
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